



JAN 15 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/885,296	06/20/2001	Atsushi Shimizu	15115.003001

22511  
ROSENTHAL & OSHA LLP  
SUITE 4550  
700 LOUISIANA  
HOUSTON, TX 77002

RECEIVED

AUG 17 2001

ROSENTHAL &amp; OSHA LLP.

CONFIRMATION NO. 2665

FORMALITIES LETTER



\*OC00000006427589\*

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Date Mailed: 08/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1462.
  - \$72 for 4 total claims over 20.
  - \$1120 for 14 independent claims over 3 .
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2302.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

01/22/2002 VTRUONG1 00000005 09885296

01 FC:101  
02 FC:102  
03 FC:10304 FC:104  
05 FC:105740.00 OP  
1176.00 OP  
72.00 OP280.00 OP  
130.00 OP740.00 OP  
1176.00 OP

01/22/2002 VTRUONG1 00000005 09885296

01 FC:101  
02 FC:102  
03 FC:103

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.  
15115/003001

In R Application No. 10/148514 AKA SHIMIZU

JAN 15 2002

Serial No.  
09/885,296

Filing Date  
June 20, 2001

Examiner

Group Art Unit  
2161

Invention: Method of Setting Direction of Growing Personnel, Method of Selecting Supplementary Capability, Method of Selecting Supplementary Personnel, Method of Evaluating Personnel, System of Forming Personnel Fostering Plan, Personnel Supplementing System, Business Achievement Assessing System and Information Transmitting Medium

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
August 15, 2001  
Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

Transmittal of Priority Documents  
Submission of Formal Drawings

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**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.

**In Re Application Of: Atsushi SHIMIZU**

Invention: **Method of Selecting Supplementary Personnel, Method of Selecting Supplementary Capability, Method of Selecting Supplementary Personnel, Method of Evaluating Personnel, System of Forming Personnel Fostering Plan, Personnel Supplementing System, Business Achievement Assessing System and Information Transmitting Medium**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

### Box Missing Parts

Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	COPY OF PAPERS ORIGINALLY FILED	\$740.00
<input type="checkbox"/> Design application filing fee		
<input checked="" type="checkbox"/> Total number of independent claims = <u>17</u>		\$1,176.00
<input checked="" type="checkbox"/> Total number of claims = <u>22</u>		\$72.00
<input checked="" type="checkbox"/> Multiple dependent claims		\$280.00
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath		\$130.00
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor		
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification		
<input type="checkbox"/> Fee for processing and retention of application		
	Total completion of application fees	\$2,398.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month    Two months    Three months    Four months    Five months

from:

until:

Date

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### Total time extension fees

**Total fees due**

**\$2,398.00**

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.  
15115/003001

In Re Application Of: Atsushi SHIMIZU

Serial No. JAN 15 2002  
09/885,296

Filing Date  
June 20, 2001

Examiner

Group Art Unit  
2161

Invention: Method of Setting Direction of Growing Personnel, Method of Selecting Supplementary Capability, Method of Selecting Supplementary Personnel, Method of Evaluating Personnel, System of Forming Personnel Fostering Plan, Personnel Supplementing System, Business Achievement Assessing System and Information Transmitting Medium

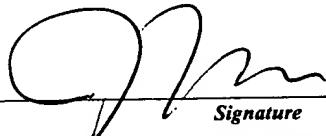
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

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The fee of \$2,398.00 is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0591  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0591  
A duplicate copy of this sheet is enclosed.



Signature

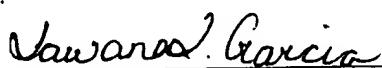
Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
700 Louisiana Street, Suite 4550  
Houston, Texas 77002

Telephone: 713/228-8600  
Facsimile: 713/228-8778

Dated:

10/15/01

I certify that this document and fee is being deposited on Oct. 15, 2001 with the U.S. Postal Service first class mail under 37 C.F.R. 1.8 and is addressed to Assistant Commissioner for Patents, Washington, DC 20231.



Signature of Person Mailing Correspondence

Tawana L. Garcia

Typed or Printed Name of Person Mailing Correspondence

CC:

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H.F.  
PATENT

ATTORNEY DOCKET NO. 15115/003001  
PATENT APPLICATION NO. 09/885,296

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Atsushi SHIMIZU Art Unit: 2161  
Serial No.: 09/885,296 Examiner:  
Filed : June 20, 2001  
Title : Method of Setting Direction of Growing Personnel, Method of Selecting Supplementary Capability, Method of Selecting Supplementary Personnel, Method of Evaluating Personnel, System of Forming Personnel Fostering Plan, Personnel Supplementing System, Business Achievement Assessing System and Information Transmitting Medium

Assistant Commissioner for Patents  
Washington, DC 20231

TRANSMITTAL OF PRIORITY DOCUMENT(S) UNDER 35 U.S.C. 119

Applicant hereby confirms his claim of priority under 35 U.S.C. 119 from Japanese Application No. 2000-185287 filed June 20, 2000. A certified copy of the application from which priority is claimed is submitted herewith.

Please charge any fees due in this respect to Deposit Account No. 50-0591, referencing 15115/003001.

Respectfully submitted,

Date: 10/15/01

  
\_\_\_\_\_  
Jonathan P. Osha  
Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.  
700 Louisiana Street, Suite 4550  
Houston, TX 77002  
Telephone: 713/228-8600  
Facsimile: 713/228-8778

Date of Deposit: October 15, 2001

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Tawana L. Garcia

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PATENT  
ATTORNEY DOCKET NO. 15115/003001  
U.S. PATENT APPLICATION NO. 09/885,296

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Atsushi SHIMIZU Art Unit: 2161  
Serial No.: 09/885,296 Examiner:  
Filed: June 20, 2001  
Title: Method of Setting Direction of Growing Personnel, Method of Selecting Supplementary Capability, Method of Selecting Supplementary Personnel, Method of Evaluating Personnel, System of Forming Personnel Fostering Plan, Personnel Supplementing System, Business Achievement Assessing System and Information Transmitting Medium

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: Official Draftsman

SUBMISSION OF FORMAL DRAWINGS

Please substitute the enclosed fifty-three (53) sheets of formal drawings for the corresponding drawings presently in the application.

Please apply any charges or credits to our Deposit Account No. 500-591, reference Number 15115/003001.

Respectfully submitted,



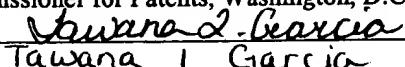
Jonathan P. Osha  
Reg. No. 33,986

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Telephone: 713/228-8600  
Facsimile: 713/228-8778

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Date of Deposit October 15, 2001  
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the U.S. Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



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